

金融商品の販売等に関する勧誘方針

Solicitation Policy

ベントール・グリーンオーク株式会社（以下「当社」といいます。）は、金融商品の販売等に関する法律に基づき、金融商品の勧誘に当たり、以下の方針（以下「本方針」といいます。）を遵守いたします。

We, Bentall GreenOak KK (hereinafter called “BGOKK”), complies with the following policy (the “Policy”) concerning solicitation activities related to sales of financial products in accordance with the Act on Sales, Etc. of Financial Instruments.

- 当社は、お客様の取引目的やニーズ、資産状況、取引経験、知識を考慮し、お客様に適した投資勧誘に努めます。

BGOKK strives to solicit investments appropriately for each customer by taking into account the purpose of the transaction, the investor’s needs, existing asset portfolios, investment experience and level of knowledge.

- 当社は、お客様の判断と責任においてお取引いただけるよう、商品内容やリスク等について適切な説明及び情報提供に努めます。

BGOKK endeavors to provide appropriate explanation and information regarding our product descriptions and risk profile in order to help our customers make informed investment decisions at their discretion.

- 当社は、誠実公平な勧誘を心掛け、断定的判断や事実と異なる情報を提供するなど、お客様の誤解を招くような勧誘はいたしません。

BGOKK endeavors to solicit fairly and in good faith, and never conduct solicitation activities that could create a misunderstanding such as making decisive statements and supplying information that is not based on facts.

- 当社は、お客様にとって適切な時間帯、場所及び方法で勧誘を行うよう、十分に配慮いたします。

BGOKK endeavors to solicit at an appropriate period of time and place in an appropriate manner with sufficient consideration for our customers.

- 当社は、法令等及び本方針に沿った適正な勧誘を行うために、社内体制の整備及び役職員の知識技能の修得、研鑽に努めます。

BGOKK endeavors to enhance the internal management system and promote continuing advancement of knowledge and skills of our officers and employees in order to ensure the appropriateness of solicitation in compliance with laws, regulations and the Policy.